

RFP-1887 Retirement Investment Plans Consultant

Budget: \$450,000 via WebEx Post Presentation

| Evaluators: 1) Roland Gonzalez 2) Trisha James 3) Larry Bischof, 4) David DeLoach, | AndCo Consulting, LLC | | | | | Prime Capital Investment Advisors | | | | |
|--|-----------------------|--------------|--------------|--------------|--------------------|-----------------------------------|--------------|--------------|--------------|--------------------|
| Project Relative Weight | | | | | Average Team Score | | | | | Average Team Score |
| | E1 | E2 | E3 | E4 | 91.65 | E1 | E2 | E3 | E4 | 78.99 |
| TEAM AVERAGE TOTAL SCORES | | | | | | | | | | |
| Small Business Participation - EXEMPT | | | | | | | | | | |
| Calculation of Small Business participation will be performed by the Small Business staff during the evaluation phase | | | | | | | | | | |
| EXEMPT | | | | | | | | | | |
| 0.00 | | | | | | | | | | |
| EXEMPT | | | | | | | | | | |
| 0.00 | | | | | | | | | | |
| Price - 15 pts | | | | | | | | | | |
| Actual Price | | | | | | | | | | |
| Actual Price | | | | | | | | | | |
| Purchase Price Calculation per "Instruction to Respondents" | | | | | | | | | | |
| \$305,000.00 | | | | | | | | | | |
| 8.90 | | | | | | | | | | |
| \$180,000.00 | | | | | | | | | | |
| 15.00 | | | | | | | | | | |
| Respondent's (and Subconsultant's, if applicable) Reputation and Quality of Services - 25 pts | | | | | | | | | | |
| Attachment 2 - Services Experience Form (2) pts | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 1.00 | 1.20 | 2.00 | 1.25 | 1.36 |
| Attachment 3 - Professional References Form (2) pts | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 0.50 | 1.63 |
| Attachment 4 - Certification Regarding Background Information (2) pts | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 |
| Attachment 5 - General Corporate Information (8) pts | 7.00 | 8.00 | 7.00 | 8.00 | 7.50 | 5.00 | 7.00 | 6.00 | 7.25 | 6.31 |
| Attachment 5 - Conflict of Interest (5) pts | 5.00 | 5.00 | 5.00 | 5.00 | 5.00 | 4.00 | 5.00 | 5.00 | 5.00 | 4.75 |
| Attachment 5 - Experience of Staff and Organization (6) pts | 6.00 | 6.00 | 6.00 | 6.00 | 6.00 | 6.00 | 5.60 | 5.00 | 3.50 | 5.03 |
| Total Average - Respondent's Reputation and Quality of Services | 24.00 | 25.00 | 24.00 | 25.00 | 24.50 | 20.00 | 22.80 | 22.00 | 19.50 | 21.08 |
| Benefit to PHA - 50 pts | | | | | | | | | | |
| Attachment 5 - Service Requirements (8) pts | 8.00 | 8.00 | 8.00 | 8.00 | 8.00 | 4.00 | 8.00 | 6.00 | 8.00 | 6.50 |
| Attachment 5 - Investment Policy/Asset Allocation (13) pts | 12.00 | 13.00 | 13.00 | 13.00 | 12.75 | 10.00 | 8.50 | 11.00 | 9.60 | 9.78 |
| Attachment 5 - Investment Manager Research (13) pts | 13.00 | 13.00 | 12.00 | 13.00 | 12.75 | 11.00 | 8.50 | 12.00 | 2.45 | 8.49 |
| Attachment 5 - Performance Measurement & Reporting (13) pts | 13.00 | 12.00 | 13.00 | 13.00 | 12.75 | 13.00 | 9.00 | 12.00 | 5.30 | 9.83 |
| Attachment 5 - Other Benefits (3) pts | 2.00 | 3.00 | 2.00 | 3.00 | 2.50 | 2.00 | 2.50 | 2.00 | 1.80 | 2.08 |
| Total Average - Performance Plan and Other Benefits | 48.00 | 49.00 | 48.00 | 50.00 | 48.75 | 40.00 | 36.50 | 43.00 | 27.15 | 36.66 |
| Overall Compliance with PHA Policies and Instructions - 10 pts | | | | | | | | | | |
| Full completion of the proposal Response form and submission of the items required by the proposal documents, including, but not limited to, all items set forth in Section C of the proposal Response form, and including addenda. (See scores below) | | | | | | | | | | |
| Understanding and acceptance of PHA's contract requirements. (5 pts) | 4.00 | 5.00 | 5.00 | 5.00 | 4.75 | 3.00 | 1.00 | 5.00 | 2.00 | 2.75 |
| Transparency of all fees. (2 pts) | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 |
| Response acceptably presented in terms of clarity, conciseness, organization and appearance (3 pts) | 3.00 | 2.00 | 3.00 | 3.00 | 2.75 | 1.00 | 1.00 | 2.00 | 2.00 | 1.50 |
| Total Average - Overall Compliance with PHA Policies and Instructions | 9.00 | 9.00 | 10.00 | 10.00 | 9.50 | 6.00 | 4.00 | 9.00 | 6.00 | 6.25 |
| Team Average Total Scores | | | | | 91.65 | | | | | 78.99 |

RFP-1887 Retirement Investment Plans Consultant

Budget: \$450,000 via WebEx Post Presentation

| Evaluators: 1) Roland Gonzalez 2) Trisha James 3) Larry Bischof, 4) David DeLoach, | Qualified Plan Advisors | | | | | UBS Institutional Consulting Group | | | | |
|--|-------------------------|--------------|--------------|--------------|---------------------|------------------------------------|---------------------|--------------|--------------|--------------------|
| | Project Relative Weight | | | | Average Team Score | | | | | Average Team Score |
| | E1 | E2 | E3 | E4 | 77.83 | E1 | E2 | E3 | E4 | 77.81 |
| TEAM AVERAGE TOTAL SCORES | | | | | | | | | | |
| Small Business Participation - EXEMPT | | | | | | | | | | |
| Calculation of Small Business participation will be performed by the Small Business staff during the evaluation phase | | | | | | | | | | |
| EXEMPT | | | | | 0.00 | EXEMPT | | | | 0.00 |
| Price - 15 pts | | | | | | | | | | |
| | | | | | Actual Price | | | Actual Price | | |
| Purchase Price Calculation per "Instruction to Respondents" | | | | | \$300,000.00 | 9.00 | \$470,000.00 | | | 5.70 |
| Respondent's (and Subconsultant's, if applicable) Reputation and Quality of Services - 25 pts | | | | | | | | | | |
| Attachment 2 - Services Experience Form (2) pts | 1.00 | 1.20 | 1.00 | 1.25 | 1.11 | 1.00 | 0.40 | 2.00 | 1.25 | 1.16 |
| Attachment 3 - Professional References Form (2) pts | 1.00 | 2.00 | 2.00 | 0.50 | 1.38 | 2.00 | 1.20 | 2.00 | 0.50 | 1.43 |
| Attachment 4 - Certification Regarding Background Information (2) pts | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 |
| Attachment 5 - General Corporate Information (8) pts | 6.00 | 4.10 | 4.00 | 7.25 | 5.34 | 6.00 | 6.50 | 7.00 | 8.00 | 6.88 |
| Attachment 5 - Conflict of Interest (5) pts | 4.00 | 5.00 | 5.00 | 6.75 | 5.19 | 5.00 | 5.00 | 5.00 | 5.00 | 5.00 |
| Attachment 5 - Experience of Staff and Organization (6) pts | 6.00 | 5.60 | 6.00 | 2.25 | 4.96 | 6.00 | 5.60 | 5.00 | 5.00 | 5.40 |
| Total Average - Respondent's Reputation and Quality of Services | 20.00 | 19.90 | 20.00 | 20.00 | 19.98 | 22.00 | 20.70 | 23.00 | 21.75 | 21.86 |
| Benefit to PHA - 50 pts | | | | | | | | | | |
| Attachment 5 - Service Requirements (8) pts | 3.00 | 8.00 | 5.00 | 8.00 | 6.00 | 5.00 | 8.00 | 8.00 | 8.00 | 7.25 |
| Attachment 5 - Investment Policy/Asset Allocation (13) pts | 11.00 | 13.00 | 11.00 | 11.50 | 11.63 | 11.00 | 10.00 | 12.00 | 13.00 | 11.50 |
| Attachment 5 - Investment Manager Research (13) pts | 12.00 | 9.50 | 11.00 | 8.40 | 10.23 | 12.00 | 10.30 | 12.00 | 13.00 | 11.83 |
| Attachment 5 - Performance Measurement & Reporting (13) pts | 13.00 | 13.00 | 11.00 | 13.00 | 12.50 | 13.00 | 9.40 | 12.00 | 9.50 | 10.98 |
| Attachment 5 - Other Benefits (3) pts | 1.00 | 3.00 | 2.00 | 3.00 | 2.25 | 1.00 | 1.80 | 2.00 | 3.00 | 1.95 |
| Total Average - Performance Plan and Other Benefits | 40.00 | 46.50 | 40.00 | 43.90 | 42.60 | 42.00 | 39.50 | 46.00 | 46.50 | 43.50 |
| Overall Compliance with PHA Policies and Instructions - 10 pts | | | | | | | | | | |
| Full completion of the proposal Response form and submission of the items required by the proposal documents, including, but not limited to, all items set forth in Section C of the proposal Response form, and including addenda. (See scores below) | | | | | | | | | | |
| Understanding and acceptance of PHA's contract requirements. (5 pts) | 3.00 | 2.00 | 4.00 | 2.00 | 2.75 | 1.00 | 3.00 | 5.00 | 2.00 | 2.75 |
| Transparency of all fees. (2 pts) | 2.00 | 2.00 | 2.00 | 1.00 | 1.75 | 2.00 | 2.00 | 1.00 | 2.00 | 1.75 |
| Response acceptably presented in terms of clarity, conciseness, organization and appearance (3 pts) | 2.00 | 2.00 | 2.00 | 1.00 | 1.75 | 2.00 | 2.00 | 2.00 | 3.00 | 2.25 |
| Total Average - Overall Compliance with PHA Policies and Instructions | 7.00 | 6.00 | 8.00 | 4.00 | 6.25 | 5.00 | 7.00 | 8.00 | 7.00 | 6.75 |
| Team Average Total Scores | | | | | 77.83 | | | | | 77.81 |